

Annexes

Annex 1: Section 47A Claims

Variable Name	Variable Type	Description
case_number	String	Unique identifier for the case (e.g., CAT reference number).
claimant	String	Name(s) of the party or parties initiating the claim.
defendant	String	Name(s) of the party or parties against whom the claim is brought.
registration_date	Date	Date the case was registered with the CAT.
case_status	Categorical	Status of the case: 1 = Not archived/stayed, 2 = Archived, 3 = Stayed.
last_document_date	Date	Date of the most recent document filed.
duration (days)	Integer	Number of days between registration and last document.
fast_track_application	Integer (Binary)	1 if fast-track procedure was applied for; blank if not.
ft_outcome	Categorical	Outcome of fast-track application: 1 = Dismissed, 2 = Approved.
lip	Integer (Binary)	1 if claimant was a litigant in person; blank if not.
injunction	Integer (Binary)	1 if injunction was sought; blank if not.
injunction_outcome	Categorical	Result of injunction: 1 = Refused, 2 = Obtained.
umbrella_case	Categorical	1 = Not umbrella, 2 = Umbrella.
claimant_type	Categorical	1 = Direct, 2 = Indirect, 3 = Competitor, 4 = Direct and Indirect.
claim_type	Categorical	1 = Follow-on, 2 = Standalone.
legal_basis	Categorical	1 = Chapter I, 2 = Chapter II, 3 = Chapter I and II.
microbusiness	Integer (Binary)	1 if claimant is a microbusiness; blank if not.
phisical_person	Integer (Binary)	1 if claimant is a natural person; blank if not.
Related Cases	Integer (Binary)	1 if related cases exist; blank if not.

Annex 2: Section 47B-Collective Actions

Variable Name	Variable Type	Description
Injunction	Integer (Binary)	1 if an injunction was sought; blank if not.
claimant_type	Categorical	1 = Direct, 2 = Indirect, 3 = Competitor, 4 = Consumers, 5 = Direct and Indirect.
claim_type	Categorical	1 = Follow-on, 2 = Standalone.
legal_basis	Categorical	1 = Chapter I, 2 = Chapter II, 3 = Chapter I and II.
class_action_type	Categorical	1 = Opt-in, 2 = Opt-out, 3 = Closed class.

Annex 3: Declined cases

Variable Name	Variable Type	Description
Opt-in	Integer (Binary)	1 if the collective action is opt-in; blank if not.
Opt-out	Integer (Binary)	1 if the collective action is opt-out; blank if not.
Settlement order	Integer (Binary)	1 if a settlement order was issued; blank if not.
Cost decision	Integer (Binary)	1 if a formal cost decision was issued; blank if not.
Cost estimated	Float	Estimated litigation costs (in GBP).
Third-party funding	Integer (Binary)	1 if third-party litigation funding was used; blank if not.
Actual loss	Float	Claimed actual loss (in GBP).
loss of profits (excluding interests)	Float	Claimed loss of profits excluding interest (in GBP).
loss of profits (including interests)	Float	Claimed loss of profits including interest (in GBP).
Loss of opportunity	Float	Claimed loss of opportunity (in GBP).
Other cost damages	Float	Other claimed cost-related damages (in GBP).
Exemplary damages	Float	Claimed exemplary (punitive) damages (in GBP).
Amount claimed	Float	Total amount claimed by the claimant (in GBP).
Amount awarded	Float	Total amount awarded by the tribunal (in GBP).

Annex 4: Cost Judgments

Variable Name	Variable Type	Description
phase	String	Stage of litigation to which the cost data refers (e.g., Final judgment costs).
claimed_costs_claimant	Float	Total costs claimed by the claimant (in GBP).
claimed_costs_defendant	Float	Total costs claimed by the defendant (in GBP).
awarded_costs_claimant	Float	Costs awarded to the claimant by the tribunal (in GBP).
awarded_costs_defendant	Float	Costs awarded to the defendant by the tribunal (in GBP).
claim_value	Float	Total value of the claim (in GBP).
cost_capping_claimant	Integer (Binary)	1 if cost capping was applied to the claimant; blank if not.
cost_capping_defendant	Integer (Binary)	1 if cost capping was applied to the defendant; blank if not.

Annex 5: Cost estimator tool

Variable Name	Description	Formula / amount
RA / RB	Grade A / Grade B observed hourly rates	£854.50 / £678.42
RC / RD	Grade C / Grade D extrapolated rates (GHR ratio)	£452.89 / £307.62
WA - WD	Phase-specific grade weight (leverage ratio)	Phase-specific % (Derived from Table 1)
Rphase	Blended hourly rate for a specific procedural phase	$(RA \times WA) + (RB \times WB) + (RC \times WC) + (RD \times WD)$
Htotal	Representative baseline total solicitor hours	Based on Intensity Tier (e.g., 3,500 for Medium)
Hi	Estimated absolute solicitor hours per phase	$H_{total} \times \text{Phase Weighting for phase } i$
Cclaimed	Total claimed solicitor fees across all phases	Sum of $(H_i \times R_{phase})$ across all 7 phases
Dfactor	Disbursement factor (Experts and Counsel)	0.3 (Fast Track) to 2.0 (Collective Actions)
Total_Cost	Final estimated total cost (Solicitor Fees + Disbursements)	$C_{claimed} \times (1 + D_{factor})$
Recrate	Empirical Rule 104 claimant recovery rate	56.6% (0.566)
Ccomp	Total compensated costs a claimant can expect to recover	$Total_Cost \times Recrate$