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Does artificial intelligence harm labour? Investigating the limitations of incident trackers as evidence for policymaking

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Abstract

Introduction. From the point of view of public policy, artificial intelligence (AI) is an emerging technology with as-yet-unknown risks. AI incident trackers collect harms and risks to inform policymaking. We investigate how labour is represented in two popular AI incident trackers. Our goal is to understand how well the knowledge organization of these incident trackers reveals labour-related risks for AI in the workplace, with a focus on how AI is impacting and expected to impact workers within the United States.

Data and Analysis. We search for and analyse labour-related incidents in two AI incident trackers, the Organization for Economic Cooperation and Development's AI incidents monitor (OECD AIM) and the AI incident database (AIID) from the responsible AI collaborative.

Results. The OECD AIM database categorised workers as stakeholders for 600 incidents with 6,744 associated news reports. From the AIID, we constructed a set of 57 labour-related incidents.

Discussion and Conclusions. The AI incident trackers do not facilitate ready retrieval of labour-related incidents: they used limited existing labour-related terminology. AI incident trackers' reliance on news reports risks overrepresenting some sectors and depends on the news reports' framing of the evidence.

Introduction

To make regulatory decisions, policymakers need to define problems and priorities in a process called agenda setting (Baumgartner, 2015). Issues raised in the agenda setting phase inform the evidence to be collected from empirical research (Head, 2010; Pawson et al., 2011) to shape the space of policies envisioned (MacKillop & Downe, 2023; Schiff, 2024).

Artificial intelligence (AI), while long studied in the academic sphere, is, from the point of view of public policy, an emerging technology with as-yet-unknown risks. In the public consciousness, two vivid risks people envision from AI are existential threats to humanity (Cameron, 1984) and the risk of being replaced by machines (Autor, 2015; 2022). In the past few years, multiple groups have introduced AI incident trackers (Abercrombie et al., 2024; Hutiri et al., 2024; McGregor, 2021; OECD, 2024) and taxonomies (Arda, 2024; Cattell et al., 2024; Critch & Russell, 2023; Shelby et al., 2022; Weidinger, 2022) to analyse the potential harms and risks of AI.

In this paper, we focus on labour relations with AI (Arntz et al., 2016), sometimes called the 'future of work' (Laker, 2023). Specifically, we investigate how two popular AI incident trackers represent labour-related risks for AI in the workplace.

Background

AI and labour

Policymakers seek to mitigate the effects of emerging technology on labour displacement (Lane & Saint-Martin, 2021), deskilling and economic trade competition (OECD, 2022b). In 2020, US policymakers defined an AI as a 'machine-based system that can, for a given set of human-defined objectives, make predictions, recommendations or decisions influencing real or virtual environments' (ScienceIsUS, 2024). As early as the 20th century, governments and research experts forecasted the effects of automation on the workforce (Acemoglu and Restreppo, 2019). More recently, Frey & Osborne (2017) measured which occupations are at the most risk of automation.

Incident tracking

We identified a proliferation of AI incident trackers (e.g., Hutiri et al., 2024; McGregor, 2021; Rodrigues et al., 2023; Shrishak, 2023). These AI incident trackers are informed by earlier incident reporting strategies to address system failures and risks in aviation (NASA Aviation Safety Reporting System, n.d.; Reynard, 1986), healthcare (Kohn et al., 2000; Macrae, 2016), software development (Booth et al., 2013) and cybersecurity (van der Kleij et al., 2022). However, current AI trackers 'rely heavily on news coverage of AI incidents' (Turri & Dzombak, 2023). There is no standard structure for incident tracking. Incidents begin as records of an event that are deemed worth reporting. Incident tracking depends on a predefined documentation procedure indicating what information may indicate failure or risk within a system and is 'worth' knowing (Turri & Dzombak, 2023). Recording an event as an incident transforms it into labelled data. Experts standardise the vocabulary that guides how incident trackers can assess the implications of a technology or policy (Hoffmann & Frase, 2023; OECD, 2022a).

Aims

To determine how well AI incident trackers inform policymaking, we study how well the knowledge organization of different incident trackers reveals labour-related risks for AI in the workplace. We search for and analyse labour-related incidents in two AI incident trackers, the AI incidents monitor and the AI incident database, with a focus on how AI is impacting and is expected to impact workers within the United States.

Data collection and analysis

AI incident tracker 1: OECD AI incidents monitor (AIM)

The Organisation for Economic Co-operation and Development (OECD) is an intergovernmental organization of 38 mostly high-income, industrialised countries. The OECD AI incidents monitor, (AIM) launched publicly in 2023. OECD AIM was developed as part of their efforts on AI governance to 'establish a knowledge foundation... and... terminology' for an interactive evidence base that could help policymakers to define the scope of AI (OECD, 2023). The incident tracker's backend process is supported by the event registry (http://eventregistry.org) digital service, a third-party commercial entity. Event registry monitors news reports worldwide, drawing on an expert-created category system and machine learning algorithms to group news into incidents and automatically classify harms. For OECD AIM, each incident is automatically assigned a summary and headline from the primary news report (the news report from the source with the highest Alexa traffic rank).

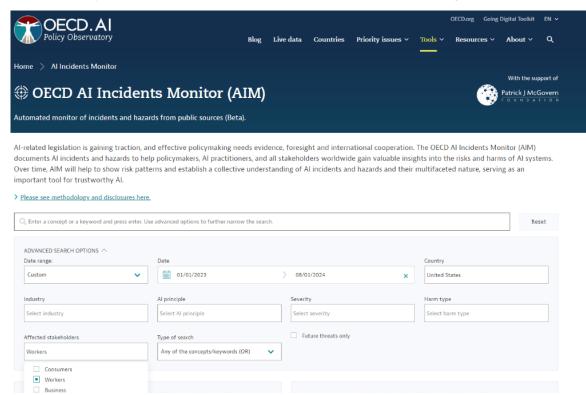


Figure 1. The OECD's AIM database query portal, showing some of our query settings

To collect data, we downloaded query results (incidents, summaries, headlines) from the OECD's AIM database (Figure 1) on August 1, 2024. We had to depend on the online portal because the most important data for our project, 'affected stakeholders', was only available through this channel. (The batch data download option only provided the incident ID, title, summary, date of creation, concepts, and companies.) We always set 'affected stakeholders' to workers, 'country' to United States and 'date' from January 1, 2023, through August 1, 2024.

Our queries retrieved 600 incidents with 6,744 associated news reports that the OECD AIM database categorised as having workers as 'affected stakeholders'. We searched separately for each 'industry' in the OECD AIM industry taxonomy and calculated the percentage of incidents with Workers as stakeholders. We searched with 'future threat only' both unchecked and checked and calculated the percentage of future threats. We grouped industrial sectors by comparing the percentage of incidents that involved future threats, the percentage of incidents that involved

workers as stakeholders, and to what extent these intersected (e.g., workers as stakeholders AND future threats; neither; or just one or the other).

AI incident tracker 2: AI incident database (AIID)

The AI Incident Database (AIID) (https://incidentdatabase.ai) was launched in 2020 with support from the Partnership on AI (https://partnershiponai.org/) (McGregor, 2021). Drawing from crowdsourced submissions, the AIID depends on a taxonomy and annotation guidance developed by the Georgetown University Center for Security and Emerging Technology (Hoffmann et al., 2023; Responsible AI Collaborative, 2022). Each news report is assigned to a single incident, but each incident may collect multiple related news reports.

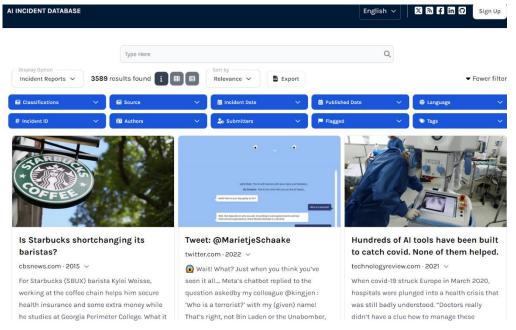


Figure 2. Screenshot of the AIID's 'discovery' query tool

Since AIID did not provide a direct method for filtering incidents involving *workers*, we downloaded the whole dataset of 3,545 full-text news reports collected into 721 incidents as bulk data on August 1st, 2024. Initially, we explored the download by querying for each of the three keywords *labor*, jobs, and *worker*; we identified additional terminology from the incidents with these keywords in their associated news reports and ultimately constructed a dataset by searching for the following queries:

- Compensation
- Firing
- Hiring
- Scheduling
- Unemployment
- Worker death
- Workplace

We recorded the number of results for each query and deduplicated, retrieving 304 keyword matches in 266 news reports grouped into 126 incidents, which were associated with a total of 1,183 news reports, both retrieved by and not retrieved by keywords. We calculated the number of news reports retrieved by each keyword and grouped by incident.

Ultimately, we categorised an incident as labour related only when a news report retrieved by a keyword indicated how AI has already contributed to harms or risks for workers' well-being in the

workplace. Underlying our decision of whether an incident was eligible for inclusion in our labourrelated dataset was the question: did automation or implementation of AI in the workplace either replace, disrupt, or augment the tasks and prospects of safe employment for workers? For instance, for celebrities and creative artists, we considered their capacity to extract value, so that we treated their bodies, personality, styles, or likeness as an intrinsic part of their labour.

We kept an inventory of thematic codes to classify recurring types of AI technologies, examples of workplace tasks, reported harms and risk mitigation topics included in the labour-related dataset. We excluded speculated harms that have not occurred, or that do not unambiguously bear directly on the workplace, such as gender or racial bias in search results for professions. Since we wanted to focus on AI's own impact on labour, we also excluded from the labour-related dataset:

- 1. Incidents related to the internal management of AI-focused companies (e.g., hiring, firing and leadership changes)
- 2. Incidents that originated outside employment, for instance related to:
 - Administration of social benefits, governance, justice, and law enforcement. Though relevant to labour, the administration of unemployment and social security benefits were considered to be outside the scope of the workplace.
 - Harmful representations pertaining to culture (e.g., generative AIs return violent imagery), content delivery and information retrieval (e.g., Image search for 'CEO' returns predominantly male-presenting results).
 - Anti-competition and monopolistic firm behaviour, except those specific to the job market
 - Deep fakes, except those generated as a condition of employment

Ultimately, we manually classified 57 incidents (with 184 keyword matches in 112 of their associated news reports – see Figure 3) as directly involving the effects of AI on labour. We compared these manually classified labour-related incidents with a keyword-based retrieval strategy: we collected the number of news reports retrieved by each keyword, grouped them by incident, and collected all news reports associated with the matching incidents.



It turns out Amazon's AI hiring tool discriminated against women

siliconrepublic.com - 2018 V

Amazon had to scrap its Al hiring tool because it was 'sexist' and discriminated against female applicants, a report from Reuters has found.

The HR community is becoming increasingly interested in the potential of AI to help speed up the recruitment process. In early 2018, a report on emerging HR trends released by LinkedIn found that 76pc of HR professionals acknowledged that AI will be at least somewhat significant to recruitment work in coming years. Als were rated as most helpful at sourcing, screening and scheduling candidates.

Yet some would still argue that AI is the solution to human-generated biases. A HR study released by IBM found that recruiters spend as little as six seconds looking at a résumé that comes across their desk. IBM argues that when making decisions based purely on instant impressions, HR professionals are more inclined to fall back on their own implicit bias, something an AI could correct by helping to expedite the initial hiring stages.

Figure 3. Sometimes multiple keyword searches retrieve a single news report: both 'scheduling' and 'hiring' are found in the news report (Short, 2018). The news report Short (2018) is associated with AIID's Incident 37 'female applicants down-ranked by Amazon recruiting tool.'

Results

AI incident tracker 1: OECD AIM

We compared the OECD AIM database as a whole to the subset of workers-related incidents (600 incidents with 6,744 associated news reports that the OECD AIM database categorised as having workers as 'affected stakeholders'). Future threats are more pronounced for Workers: we found that 35% of workers-related incidents are marked as 'future threat' compared to only 25% of incidents in the OECD AIM database as a whole. Figure 4 shows the percentage of all incidents involving workers broken down by industry sectors. The share of future threats with workers listed as affected as stakeholders (Figure 4 column 3) is particularly high for two sectors: arts, entertainment, and recreation (70%) and business processes and support services (49%) [Group 4]. Some industries [Group 1] have a larger percentage of future threat incidents but face little AIrelated threat specifically to workers, now or in the future, such as agriculture; energy, raw materials, and utilities; real estate; and environmental services. Other sectors [Group 2] not only have incidents with minimal or zero AI impact for workers (Figure 4 column 2), but relatively few future threats generally (Figure 4 column 4); food and beverages; construction and air conditioning: consumer products; consumers services; and travel, leisure, and hospitality. Other industry sectors [Group 5] contain a moderate percentage of future threats, but their incidents do not proportionally affect workers more than other stakeholders (Figure 4 column 2); however, for this group, an incident is more likely to be a future threat when involving Workers as stakeholders in these industries, as seen in Table 1 column 1 for Group 5: healthcare, drugs and biotechnology (69%); government, security and defence (63%); financial and insurance (57%); IT infrastructure and hosting (58%); logistics wholesale, retail (50%); robots, sensors, IT hardware (53%). For some other industries [Group 3], workers have been identified as stakeholders in incidents, but incidents are less likely to be future threats, from Table 1 column 1: digital security (41%); education and training (24%); media, social platforms, marking (30%); mobility and autonomous vehicles (28%). These sectors face a moderate percentage of future threats that mostly concern other concerns besides workers, as seen in Figure 4 column 2 for Group 3.

Group	OECD Industry Sector	% of Stakeholder Worker Incidents that are Future Threat	% of Future Threat Incidents that are Stakeholder Worker	% All incidents that are Stakeholder Worker	% All Incidents that are Future Threat
1	Energy, raw materials and utilities	0%	0%	0%	609
	Agriculture	0%	0%	0%	679
	Environmental services	0%	0%	13%	889
	Realestate	0%	0%	11%	339
2	Travel, leisure and hospitality	0%	0%	0%	229
	Construction and air conditioning	0%	0%	7%	79
	Consumer products	0%	0%	4%	199
	Consumer services	17%	17%	11%	119
	Food and beverages	0%	0%	0%	0
3	Digital security	41%	10%	9%	379
	Education and training	24%	21%	33%	389
	Media, social platforms, marketing	30%	20%	17%	25
	Mobility and autonomous vehicles	28%	16%	15%	27
4	Business processes and support services	46%	49%	39%	37
	Arts, entertainment and recreation	35%	70%	44%	22
5	Financial and insurance	57%	18%	13%	42
	Government, security and defense	63%	12%	8%	40
	Healthcare, drugs and biotechnology	69%	20%	13%	44
	IT infrastructure and hosting	58%	31%	23%	44
	Logistics wholesale, retail	50%	8%	18%	36
	Robots, sensors, IT hardware	53%	29%	21%	39

Less ← Future threat and/or risk exposure to workers → More

Figure 4. The proportion of OECD AIM incidents with workers as a stakeholder, broken down by each OECD-classified Industry, indicating the proportion that the database classified as 'future threat'.

AI incident tracker 2: AIID

The 57 labour-related incidents were not the only incidents retrieved with the query terms we chose; Table 1 shows that different percentages of labour-related incidents were retrieved for different query terms, ranging widely, from 6/6 (100%) for scheduling to 11/33 (33%) for compensation. While we classified incidents as labour-related or not labour-related at the incident level, different articles related to the same incident presented the incident differently.

To examine the strength of the keyword-based signal that the incident should be interpreted as labour-related, we examined how many news reports associated with labour-related incidents were returned by a given keyword, also shown in Table 1.

		compensat	firin	hiri	scheduli	unemploym	work	workpl	total	deduplica
		ion	g	ng	ng	ent	er	ace	match	ted
							death		es	
Number	From	16	20	55	15	4	30	46	186	157
of news	Labour-									
reports	related									
retrieved	Incidents									
by the	From All	51	28	84	15	19	40	68	304	266
keyword	Incidents									
Number	Labour-	11	11	21	6	4	8	22	86	57
of	related									
matching	Incidents									
incidents	All	33	19	44	6	8	17	37	164	127
(news	Incidents									
reports										
retrieved										
by the										
keyword,										
grouped										
by										
incident)										
Total	From	86	164	189	52	40	84	353	968	533
news	Labour-									
reports	related									
associate	Incidents									
d with	From All	320	246	425	52	108	137	519	1807	1183
matching	Incidents									
incidents										

Table 1. The number of incidents and labour-related incidents returned for each query term in AIID. We deduplicated the total since the same news report may be retrieved by multiple keyword searches.

We iteratively classified AIID's labour-related AI incidents as shown in Table 2. This resulted in a total of 33 labels which we grouped into 4 categories: technology, workplace task, risks, and policy domain.

Technology	Workplace Task	Risks	Policy Domain
- Robotics - Algorithmic design - Autonomous driving - Predictive analytics - Generative AI - Computer vision - Networks - Manual data classification	 Warehouse operations Recruitment, Personnel and hiring decisions Assembly Automating contracts and business rules Security monitoring and surveillance Termination decisions Data classification Job performance assessment Journalism and reporting Health and safety protocol Creative content production Content filtering Jurisprudence Chatbot Conduct and behaviour 	 Occupational hazard overwork or fatigue Physical harm No human override Gender inequity Racial inequity Unreliable information Psychological harm Technological under comprehension Human attribution issues Financial harm or reputational harm Political harm 	 Labour regulations and workplace protections Worker privacy, likeness, and labour ownership Scaling automation versus labour cost benefit Employment, contracting and termination Unreasonable job expectations, assessment, and disciplining Worker privacy, likeness, and labour ownership Worker integrity and whistleblowing protections Content moderation/Data labour

Table 2. Our own categorization of AIID's labour-related AI incidents into 33 labels in 4 categories

Discussion

The AI incident trackers do not facilitate ready retrieval of labour-related incidents. Being unable to readily see the incidents related to labour makes it impossible to understand what problems are associated with the AI-related risks and harms to labour.

The two AI incident trackers we examined used limited existing labour-related terminology. In OECD AIM, we found only one relevant term—the stakeholder *workers*—which is applied not only to AI end-users whose labour is replaced or augmented by AI systems, but also to AI producers (e.g., workers under pressure to engineer AI systems).

In AIID we identified three relevant term sets—'data input', 'AI task' and 'end user amateur/expert'—from the CSET taxonomy manual for incident classification. However, 'data input' did not consider the human labour and roles involved in producing input data (such as training data that the AI system was trained on). 'AI task' considers tasks such as 'resume reading' or 'chatbot', which can be viewed as labour replacement (Hoffmann et al., 2023). The inherent problem of staffing a support hotline for eating disorders with chatbots instead of a human call centre workforce, for example, is that this violates our expectations that therapeutic applications may require emotional labour (Posada, 2020), but 'AI task' elides emotional labour.

While the OECD AIM has a taxonomy for industry sectors, its reliance on news reports risks overrepresenting some sectors such as entertainment. Workers stakeholder incidents tend to concentrate in the arts, entertainment, and recreation industry sector, covering workers' copyright infringement, data protections and personal likeness. And the challenges of generative AI in the workplace have been raised by recent Hollywood strikes calling for controls over licensing creative

content. More attention should be paid to understanding AI's effects on different sectors, especially given the likelihood that some sectors are overrepresented. It was unclear when reconciling how classification terms like worker and 'future threat' should be interpreted when combined. Should policymakers rely on OECD AIM's classification technique when it excludes workers as stakeholders and simultaneously designates incidents in industrial sectors pertaining to earthborn land uses (like real estate, energy, agriculture, etc.) as 'future threat?' Representing incidents in this manner presents its own risk and high stakes: Can policymakers properly gauge why heightened future risks in a sector should not portend in harm for workers?

The heavy reliance on news coverage, common to current AI trackers (Turri & Dzombak, 2023), leads to some challenges. News reports can frame different stories with the same evidence, by choosing what to ignore, background or highlight. When Amazon's implementation of a predictive hiring algorithm resulted in gender discrimination (Dastin, 2018), some news reports attributed the harm to sexist input data (Kraus, 2018) or mischaracterised output from reinforcement learning algorithms as outperforming human judgment (Short, 2018) and free from 'user abuse' (Doctorow, 2018; Papadopaulos, 2018). Current AI incident report approaches group incidents geographically and temporally, which reduces the structured data available about where and when incidents took place. Consequently, in AIID, determining which incidents are labour related requires a substantial amount of judgement. When a single news report frames the news event as a labour issue, it makes the case that the incident is labour-related. Yet each incident collects multiple news reports, and labour was often in the background or used as supplemental frame. Finding incidents for labourrelated policy topics was different from finding mentions of keywords. Some keywords have high precision, retrieving only labour-related incidents, but low recall, giving a limited picture of the risks and harms. For instance, scheduling only matched incidents about controversial algorithmically driven worker shift management software. Keywords with stronger recall, like 'compensation' and 'hiring', tended to be less precisely labour-focused, with matching incidents often referring to internal AI enterprise practices and consumer settlements.

Power relationships between the working class and management (and by extension, capital) are salient in the labour-related incidents we flagged: who introduces AI into the workplace? Who is responsible for AI when it backfires? Automated staffing decisions using AI-supported predictive analytics led to multiple problems (e.g., Southwest Airlines' flight cancellations (Sider, 2022), Tesla's factory delays (Duhigg, 2018)). Lack of human override and management's technical undercomprehension exacerbated these problems. Values need to be taken into consideration to determine which labour conflicts themselves qualify as harms.

Future work

Future work should examine how the distribution of news reports used in AI incident trackers vary over time, across industries and in relation to AI principles and news media sources and audiences. The ratio of news reports to incidents varies, with some incidents (such as the Hollywood strikes) heavily reported, without regard to the actual exposure to AI-related harms in a given industrial sector. Comparing how news reports frame the same AI incident (e.g., with framing analysis) would be valuable. Likewise, researchers should seek to understand how different groupings into incidents can contribute to policymakers' problem definitions, perhaps by examining the variation in how news reports are grouped in different AI incident trackers.

Future research could systematically identify which query terms to use, including testing stemming for words such as hiring, firing, and scheduling as well as considering additional terminology such as 'crowd labor'. The use of terminology in AI governance could also be fruitfully examined, e.g., 'trustworthy AI', 'ethical AI', 'AI for good', 'beneficial AI', and 'responsible AI' (Stix, 2022).

Current definitions for harm or hazard (Placani, 2017; Rowe, 2021) need to be revisited when evaluating the relationship between AI and labour to enable incident risk prevention (Meyer, 2023). Incident reporting, which has roots in risk management and safety (Johnson, 2003), may not be sufficient for understanding AI risks to workers. Alternative conceptual frameworks for risk management from epidemiology, audit culture and social work may be more suitable for centring workers.

Future work should investigate what harm, incident, potential harm, or hazard mean in the context of labour. For instance, are 'disruptions' ever supposed to be weathered as part of events contributing to the normal functioning of the economy? Tradeoffs must consider multiple perspectives (e.g., worker, manager, capital) in the political economy of labour.

Sociotechnical perspectives will be needed. Above all, it is important to consider 'how algorithms may reshape organizational control', as Kellog et al. (2020) review. Sociotechnical analysis of fairness in machine learning (Selbst et al., 2019) can inspire new approaches for attending to the power dynamics of AI and labour, drawing on fields such as organizational behaviour and management science, human resources management, labour law and the sociology of labour.

Future classifications of AI and labour should document when a system extracts labour value unjustly. Key examples would be when a 'worker' can claim direct credit or likeness to the source data (such as Scarlett Johanssen's voice appropriated by OpenAI (Pisani & Albert, 2024)) or when input data is created with the express purpose of serving an AI system (Satariano & Mozur, 2023) (see our content moderation/data labour category in Table 3). Taxonomies for organizing how AI interacts with prior types of 'people problems' inside the workplace (Moore, 2019) may be helpful since both AI and AI incident reporting ultimately depend on how people are organised to share information within a firm. Standardised terminologies and definitions for workplace safety can be informed by International Labour Organization worker protections requiring information disclosure in the event of mass dismissal and redundancy (De Stefano, 2019). Incidents are made visible based on the power structures upheld by a given taxonomy: naming and power is worth specific consideration.

Conclusions

The two incident trackers we investigated do not adequately capture the nuanced impacts of AI on labour. Particular attention needs to be paid to power imbalances that may increase risks for harm in the workplace. Better definitions are needed to capture labour-related AI incidents, to help policymakers gather evidence to anticipate and mitigate the risks and harms threatening workers across diverse industries. AI incident trackers' reliance on news reports and limited vocabulary for identifying worker-related harms and risks leads to gaps in understanding AI for policy formulation and problem definition.

Are the labour-related risks of AI intelligible in the incident trackers with a level of detail and reliability that could support comprehensive policy and problem definition for workers' protection from the harms of AI? Our answer is no.

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Appendix

Data availability

Data underlying this research is available at https://doi.org/10.13012/B2IDB-1156758_V1